

SUBJECT: INTERNAL AUDIT PROGRESS REPORT

DIRECTORATE: CHIEF EXECUTIVE AND TOWN CLERK

REPORT AUTHOR: AMANDA STANISLAWSKI, AUDIT MANAGER

1. Purpose of Report

- 1.1 To present the Internal Audit Progress Report to the Audit Committee, incorporating the overall position reached so far, and summaries of the outcomes of audits completed during the period.

2. Executive Summary

- 2.1 The report highlights progress against the audit plan up to the end of June.
- 2.2 Eight reports have been issued since the previous Progress Report in March. These include Key Controls – Ledger (High), Key Controls – Bank Reconciliation (High), Key Controls – Property, Plant and Equipment (High), Follow-ups (High), Climate change (Substantial), Organisational Development (Substantial), IT Disaster Recovery (Substantial) and De Wint Court (Substantial).
- 2.3 The service has not been fully staffed since July 2022. Despite going through a number of recruitment processes we have not been successful in appointing to the two vacant posts. We are currently looking at our options going forward. In the interim we are putting in place contracts for the provision of general audit and specialist IT audit support, in addition to maintaining the temporary casual auditor.

3. Background

- 3.1 **The Internal Audit progress report attached (Appendix A) covers the following areas:-**
- Progress against the plan
 - Summary of Audit work
 - Current areas of interest relevant to the Audit Committee

4. Organisational Impacts

4.1 Finance (including whole life costs where applicable)

There are no direct financial implications arising as a result of this report.

4.2 Legal Implications including Procurement Rules

There are no direct legal implications arising as a result of this report.

4.3 Equality, Diversity and Human Rights

The Public Sector Equality Duty means that the Council must consider all individuals when carrying out their day-to-day work, in shaping policy, delivering services and in relation to their own employees.

It requires that public bodies have due regard to the need to:

- Eliminate discrimination
- Advance equality of opportunity
- Foster good relations between different people when carrying out their activities

There are no direct E and D implications arising as a result of this report.

5. Recommendation

- 5.1 The Audit Committee is asked to review and comment on the content of the latest Internal Audit Progress Report.

Is this a key decision? No

Do the exempt information categories apply? No

Call in and Urgency: **Does Rule 15 of the Scrutiny Procedure Rules (call-in and urgency) apply?** No

How many appendices does the report contain? One

List of Background Papers: None

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